



香港學術及職業資歷評審局
Hong Kong Council for Accreditation of
Academic & Vocational Qualifications

SUMMARY ACCREDITATION REPORT

The Hong Kong Institute of Bankers

**Learning Programme Accreditation and Learning
Programme Re-accreditation**

- (i) Advanced Diploma Program for Certified Banker**
- (ii) Advanced Certificate for ECF in Anti-Money
Laundering and Counter-Terrorist Financing [AML/CFT]**
- (iii) Professional Diploma Program in Financial
Planning and Management for CFMP**
- (iv) Professional Diploma Program for Certified Banker**
- (v) Postgraduate Diploma Program for Certified Banker**

November 2016

This accreditation report is issued by the Hong Kong Council for Accreditation of Academic and Vocational Qualifications (HKCAAVQ) in its capacity as the Accreditation Authority as provided for under the Accreditation of Academic and Vocational Qualifications Ordinance (Cap 592) (AAVQO). This report outlines the HKCAAVQ's determination, the validity period of the determination as well as any conditions or restrictions on the determination.

1. Introduction

- 1.1 The Hong Kong Institute of Bankers (the HKIB) was established in 1963 and became an independent organization in 1995 through the support of local banks and financial institutions. The HKIB aims to serve the local banking community and the region in the provision of education and training programmes to banking practitioners for continuous and professional development.
- 1.2 Based on the Service Agreement, HKCAAVQ was commissioned by The Hong Kong Institute of Bankers (香港銀行學會) (the Operator) to conduct Learning Programme Accreditation / Re-Accreditation exercise to assess and determine whether the following learning programmes achieve the stated objectives and meet the Qualifications Framework (QF) standard at the relevant QF Levels:

Learning Programme Accreditation

- (1) Advanced Diploma Program for Certified Banker; and
- (2) Advanced Certificate for ECF in Anti-Money Laundering and Counter-Terrorist Financing [AML/CFT]

Learning Programme Re-Accreditation

- (3) Professional Diploma Program in Financial Planning and Management for CFMP;
- (4) Professional Diploma Program for Certified Banker; and
- (5) Postgraduate Diploma Program for Certified Banker

- 1.3 The accreditation exercise was conducted according to the relevant accreditation guidelines referred to in the Service Agreement and the Terms of Reference stated therein. A site visit took place on 29-30 September 2016.

2. HKCAAVQ's Accreditation Determination

Having due consideration of the accreditation panel's observations and comments as presented in this Report, HKCAAVQ makes the following accreditation determination:

2.1 Learning Programme Accreditation

- Approval

Name of Operator(s)	The Hong Kong Institute of Bankers 香港銀行學會	
Name of Award Granting Body	The Hong Kong Institute of Bankers 香港銀行學會	
Title of Learning Programme	Advanced Diploma Program for Certified	Advanced Certificate for ECF in Anti-Money

	Banker 銀行專業會士高等文憑課程	Laundering and Counter-Terrorist Financing [AML/CFT]
Title of Qualification(s) (Exit Award(s))	Advanced Diploma for Certified Banker 銀行專業會士高等文憑	Advanced Certificate for ECF in Anti-Money Laundering and Counter-Terrorist Financing [AML/CFT]
Primary Area of Study and Training	Business and Management	
Sub-area (Primary Area of Study and Training)	Banking	
Other Area of Study and Training	Not applicable	
Sub-area (Other Area of Study and Training)	Not applicable	
Industry	Banking	
Branch	Retail Banking	Retail Banking
QF Level	Level 4	Level 4
QF Credits	60	20
Mode(s) of Delivery and Programme Length	Part-time 12 months 600 notional learning hours (including 25.5-52.5 contact hours)	Part-time 4 months 200 notional learning hours (including 8.5-17.5 contact hours)
Intermediate Exit Award(s)	Not applicable	
Validity Period	4 years From 1 December 2016 to 30 November 2020	
Number of Enrolment(s)	Three enrolments per year	Three enrolments per year
Maximum Number of New Students	Maximum of 400 learners per year	Maximum of 1,200 learners per year
Specification of Competency Standards Based Programme	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
Specification of Generic (Foundation) Competencies-based Programme	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
Notes to be indicated on the QR	Not applicable	

Address of Teaching/ Training Venue(s)	3/F, Guangdong Investment Tower, 148 Connaught Road Central, Hong Kong 香港上環干諾道中 148 號粵海投資大廈 3 樓
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Requirements	Date of Fulfilment
<p><u>Advanced Diploma Program for Certified Banker</u></p> <p>1. The Operator is to revise the training materials of the core module so as to provide adequate information on the historical development of the banking industry in Hong Kong, including topics such as the background leading to Hong Kong dollar deposit rates de-regulation, and evolvement of the Renminbi internationalization process in Hong Kong. The Operator is required to submit the whole set of revised training materials including Self-study Pack, PowerPoint slides and lesson plan for the core module in the programme to HKCAACQ on or before 1 February 2017.</p>	1 February 2017
<p><u>Advanced Certificate for ECF in Anti-Money Laundering and Counter-Terrorist Financing [AML/CFT]</u></p> <p>2. The Operator is to revise the training materials for the programme so as to include more real-life examples and end-of-chapter review questions with guided solutions with a view to enhancing the effectiveness of self-directed learning. The Operator is required to submit the whole set of revised training materials including Self-study Pack, PowerPoint slides and lesson plan of the programme to HKCAACQ on or before 1 February 2017.</p>	1 February 2017

Recommendations
1. <u>Advanced Diploma Program for Certified Banker and Advanced Certificate for ECF in Anti-Money Laundering and Counter-Terrorist Financing [AML/CFT]</u>

The Operator should formulate criteria to accept bank recommendation for the admission of mature learners (aged 21 or above) with 2 years of banking and finance experience into the programme. The Operator should also monitor the performance of this group of learners and provide support for them to complete the programme as necessary.

2. Advanced Diploma Program for Certified Banker and Advanced Certificate for ECF in Anti-Money Laundering and Counter-Terrorist Financing [AML/CFT]

The Operator should make available all supplementary materials such as real-life cases, market and regulatory updates distributed in class for all learners using hardcopy and /or electronic format.

3. Advanced Diploma Program for Certified Banker and Advanced Certificate for ECF in Anti-Money Laundering and Counter-Terrorist Financing [AML/CFT]

The Operator should enhance the transparency of examiners' expectation for trainers' reference by sharing examiners' report and/or selected past examination papers with trainers.

4. Advanced Diploma Program for Certified Banker and Advanced Certificate for ECF in Anti-Money Laundering and Counter-Terrorist Financing [AML/CFT]

The Operator should ensure language review be conducted on all examination papers to ensure language accuracy.

5. Advanced Diploma Program for Certified Banker and Advanced Certificate for ECF in Anti-Money Laundering and Counter-Terrorist Financing [AML/CFT]

The Operator should make better use of the e-learning platform to facilitate learners' learning. Learning materials such as updates on banking industry relevant for learners' examination and real-life case study should be made available on the e-learning platform.

2.2 Learning Programme Re-accreditation

Approval

Name of Operator(s)	The Hong Kong Institute of Bankers 香港銀行學會		
Name of Award Granting Body	The Hong Kong Institute of Bankers 香港銀行學會		
Title of Learning Programme	Professional Diploma Program in Financial Planning and	Professional Diploma Program for Certified Banker	Postgraduate Diploma Program for Certified Banker

	Management for CFMP 理財策劃及管理專業文憑課程（專業財富管理師）	銀行專業會士專業文憑課程	銀行專業會士深造文憑課程
Title of Qualification(s) (Exit Award(s))	Professional Diploma in Financial Planning and Management for CFMP 理財策劃及管理專業文憑（專業財富管理師）	Professional Diploma for Certified Banker 銀行專業會士專業文憑	1. Postgraduate Diploma in Credit Management for Certified Banker 銀行專業會士深造文憑（信貸管理） 2. Postgraduate Diploma in Treasury Management for Certified Banker 銀行專業會士深造文憑（財資管理） 3. Postgraduate Diploma in Operations Management for Certified Banker 銀行專業會士深造文憑（營運管理）
Primary Area of Study and Training	Business and Management		
Sub-area (Primary Area of Study and Training)	Banking		
Other Area of Study and Training	Not applicable		
Sub-area (Other Area of Study and Training)	Not applicable		
Industry	Banking		
Branch	Retail Banking	Corporate / Commercial Banking	Corporate / Commercial Banking
QF Level	Level 5	Level 5	Level 6

QF Credits	140	60	60
Mode(s) of Delivery and Programme Length	Part-time 24 months 1,400 notional learning hours (including 60-228 contact hours)	Part-time 12 months 600 notional learning hours (including 18-66 contact hours)	Part-time 12 months 600 notional learning hours (including 21-69 contact hours)
Intermediate Exit Award(s)	Not applicable		
Validity Period	4 years From 1 December 2016 to 30 November 2020		
Number of Enrolment(s)	Two enrolments per year	Two enrolments per year	Two enrolments per year
Maximum Number of New Students	Maximum of 400 learners per year	Maximum of 160 learners per year	Maximum of 80 learners per year
Specification of Competency Standards Based Programme	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
Specification of Generic (Foundation) Competencies-based Programme	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
Notes to be indicated on the QR	Not applicable		
Address of Teaching/ Training Venue(s)	3/F, Guangdong Investment Tower, 148 Connaught Road Central, Hong Kong 香港上環干諾道中 148 號粵海投資大廈 3 樓		

Recommendations
<p>1. <u>Professional Diploma Program for Certified Banker and Postgraduate Diploma Program for Certified Banker</u></p> <p>The Operator should formulate criteria to accept bank recommendation for the admission of mature learners (aged 21 or above) with 2 years of banking and finance experience into the programme. The Operator should also monitor the performance of this group of learners and provide support for them to complete the programme as necessary.</p> <p>2. <u>Professional Diploma Program in Financial Planning and Management for CFMP, Professional Diploma Program for Certified Banker and Postgraduate Diploma Program for Certified Banker</u></p>

The Operator should make available all supplementary materials such as real-life cases, market and regulatory updates distributed in class for all learners using hardcopy and /or electronic format.

3. Professional Diploma Program in Financial Planning and Management for CFMP, Professional Diploma Program for Certified Banker and Postgraduate Diploma Program for Certified Banker

The Operator should enhance the transparency of examiners' expectation for trainers' reference by sharing examiners' report and/or selected past examination papers with trainers.

4. Professional Diploma Program in Financial Planning and Management for CFMP, Professional Diploma Program for Certified Banker and Postgraduate Diploma Program for Certified Banker

The Operator should ensure language review be conducted on all examination papers to ensure language accuracy.

5. Professional Diploma Program in Financial Planning and Management for CFMP, Professional Diploma Program for Certified Banker and Postgraduate Diploma Program for Certified Banker

The Operator should make better use of the e-learning platform to facilitate learners' learning. Learning materials such as updates on banking industry relevant for learners' examination and real-life case study should be made available on the e-learning platform.

3. Programme Details

The following is the programme information provided by the Operator.

3.1 Programme Objectives

(i) **Advanced Diploma Program for Certified Banker**

The program is developed with the objective to provide banking professionals with essential knowledge and information for multi-business functions. It covers a wide range of generic knowledge and financial theories which provide foundation knowledge to banking practitioners.

(ii) **Advanced Certificate for ECF in Anti-Money Laundering and Counter-Terrorist Financing [AML/CFT]**

The program is developed with the objective to nurture a sustainable talent pool of Anti-Money Laundering and Counter-Terrorist Financing practitioners for the banking industry. It also aims at raising and maintaining the professional

competence of Anti-Money Laundering and Counter-Terrorist Financing practitioners in the banking industry.

(iii) Professional Diploma Program in Financial Planning and Management for CFMP

The program is developed with the objective of providing learners with essential knowledge and skills in wealth management. It is important that they are technically competent in designing appropriate investment management strategies to help clients achieve their financial goals.

Other than technical knowledge and skills, a financial planner should be equipped with proficient communication and interpersonal skills during the financial planning process. Also, it is essential that wealth management professionals are acting in accordance with the relevant regulations and upholding a high level of professional standards in order to develop a trusting relationship with clients.

(iv) Professional Diploma Program for Certified Banker

The program is developed with the objective of providing learners with generalist knowledge across different major areas of banking in order to be capable of discerning the intricacies between different operations. It is important to develop a comprehensive evaluation of impacts and risks on learner's own management regime and with a view to pave the way for graduates to take up managerial positions in banks.

Besides generalist knowledge, management of a bank also requires cognitive skills to evaluate and integrate information in a banking context. Hence, learners are trained to apply the learned skills to other disciplines in banking and be competent to study the "Postgraduate Diploma for Certified Banker" to become a specialist in a particular discipline of banking.

(v) Postgraduate Diploma Program for Certified Banker

The program aims to provide banking professionals with expert knowledge and develop skill set required for managerial positions in major functional areas i.e. Credit Management, Treasury Management and Operations Management. As the program is developed with the objective of nurturing talents for the banking profession, learners are required to apply their cross-discipline general banking knowledge in the specific functional stream they choose. HKIB, as the program developer will continuously update the existing modules and specific functional streams as the banking industry needs.

3.2 Programme Intended Learning Outcomes

(i) Advanced Diploma Program for Certified Banker

- Understand the financial system and market settings in order to identify skills and knowledge they should acquire to build their career in the banking industry;

- Explain the wide range of generic knowledge and financial theories that are related to the banking settings in Hong Kong and the globe and the application to their jobs;
- Apply advanced skills and professional knowledge on accounting, finance, law and regulations to daily job;
- Identify the types of risks faced by financial institutions today and select suitable tactics to manage them; and
- Identify the relevant ethical issues faced by financial institutions today and recommend suitable course of actions to handle them.

(ii) Advanced Certificate for ECF in Anti-Money Laundering and Counter-Terrorist Financing [AML/CFT]

- Explain what ML/TF is and how to relate it to the banking and finance sector and to their work in HK;
- Specify the importance of ML/TF related to HK banking and finance sector
- Describe the AML/CFT International Standards and related HK legal and regulatory framework ;
- Identify key elements of AML/CFT risk management framework in system design and assess the related risks;
- Assess the transactions pattern and apply customer due diligence requirements for identifying suspicious transactions for reporting; and
- Apply and access the ongoing AML/ CFT monitoring system and investigation process

(iii) Professional Diploma Program in Financial Planning and Management for CFMP

- Describe the legal and regulatory framework governing banking and financial services in Hong Kong and identify regulations applicable to financial planning;
- Acquire comprehensive knowledge in the various banking services and practices and explain the special features of different investment products as well as fundamental principles in investments;
- Conduct financial planning in accordance with prescribed steps, such as client needs analysis, investment analysis and risk assessment, etc.;
- Evaluate the principle and features of different insurance products in order to customize the financial plan and retirement plan according to the needs of customers;
- Evaluate the taxation systems in Hong Kong for providing investment advice to customers;
- Evaluate different techniques and principles related to fund and asset management in order to develop suitable strategies;
- Construct financial plans based on analysis on the needs of customers; and
- Employ appropriate communication skills to make presentation to customers based on analysis on preference and styles of customers

(iv) Professional Diploma Program for Certified Banker

- Knowledge Evaluation: Evaluate and integrate key theories / knowledge in a major discipline of banking in order to design strategies for achieving the business goals and objectives;

- Information Analysis: Analyze information provided from bank's customers, different banking units or other sources in order to assess and identify critical issues for successful implementation of the strategies (eg inherent risks, changes in the banking environment, and needs of different stakeholders, etc.); and
- Management Application: Manage execution and exceptional issues during the implementation of the bank's strategies by developing a solution / management plan after appraising the situations, potential risks and the changing banking environment, etc.

(v) Postgraduate Diploma Program for Certified Banker

- Develop Strategies: Consolidate and compare the wide range of complex concepts, models and specialized skills in the discipline in order to evaluate the applicability of different approaches and formulate a set of coherent business strategies to meet with the current and future business needs;
- Manage Implementation: Compare and select the right research framework and tools to evaluate and anticipate the development in regulatory, economic, social and/or technological environment relevant to the banking industry in order to draw justified conclusions when making decision on the complex tasks of planning, design and/or management functions in the specialized discipline; and
- Solve Problems: Formulate solutions or creative responses to tackle challenges, risks or changing environment by employing advanced business analysis and diagnostic skills to identify the implications and need for changes.

3.3 Programme Structure

(i) Advanced Diploma Program for Certified Banker

The learners are required to study 1 core and 2 elective modules.

Module Title	Type	QF Credit
1. Banking Services and Professional Ethics	Core	
2. Fundamentals of Accounting and Law in Banking	Elective	
3. Financial Systems and Risk Management	Elective	
4. Anti-money Laundering and Counter Terrorists Financing (AML/CFT)	Elective	
Total of 1 core and 2 electives		60

(ii) Advanced Certificate for ECF in Anti-Money Laundering and Counter-Terrorist Financing [AML/CFT]

Topic area	Unit of Competency	QF Credit
1. Legal and regulatory framework – Why fight Money Laundering / Terrorist	BRCRCC301A/BPCOCM301A/ BCCOCM301A BPCOCE403A/BCCOAL401A BCRMRC301A	
2. Managing the risk of ML/TF in banks in Hong Kong – How does ML/TF take place in banks?	BPASSO501A BPCOCM401A/BCCOCM401A BPCOCE402A/BCCOAL402A	
3. AML/CFT risk management framework –What can banks		

do to combat ML/TF?		
4. Knowing your customer - Customer due diligence (CDD)		
5. Monitoring your customer - Screening, monitoring and suspicious transaction reporting		
Total		20

(iii) Professional Diploma Program in Financial Planning and Management for CFMP

Module Title	Unit of Competency	QF Credit
<i>M1 Regulatory Environment for Banking and Financial Planning</i>	BRCRCC301A/ BPCOCM301A	
<i>M2 Investment Products and Markets</i>	BRSRSS402A	
<i>M3 Essentials of Banking and Financial Planning</i>	BRCRCC301A/ BPCOCM301A BRSDSW401A BRSDSW402A BRSDSW403A BRSRSS401A BRSRSS402A BPSRCO501A	
<i>M4 Insurance and Retirement Planning</i>	BRSDSW501A	
<i>M5 Investment and Asset Management: Theory and Product Solutions</i>	BPPDPI501A BPPDPI502A BPPDPI503A BPPDPI504A BPPDPI506A BRCMCS501A BRSDSW501A BRSRSS501A BPASIA601A	
<i>M6 Investment and Asset Management: Alternatives and Wealth Solutions</i>	BPPDPI505A BPSRCO603A BPSSTR501A BPSSTR602A BRCMCS501A BRSDSW501A BRSRSS501A	
<i>M7 Financial Planning and Wealth Management</i>	BRSRSS401A BPASIA501A BPASPO502A BPSRCO501A BRSDSW501A BRSRSS501A BPASIA601A BPASIA602A	

	BPSSTR602A BPSRCO603A	
	Total	140

(iv) Professional Diploma Program for Certified Banker

The learners are required to choose 2 modules out of the 4 modules.

Module Title	Unit of Competency	QF Credit
<i>Business and People Management (BPM)</i>	BROGOM501A/BCOGOM501A BRBDBG502A/BPSRBG502A/ BCBDBG502A BRBDBG503A/BPSRBG503A/ BCBDBG503A BRBDBG504A/BPSRBG504A/ BCBDBG504A BROGOA501A BROGOT501A/BCOGOT501A BROGOT503A/BCOGOT503A	
<i>Corporate Finance Services (CFS)</i>	BCSDGL501A BCSDL501A BCCMCO501A BCCMCO502A BCCMCO503A BCCMCO504A	
<i>Finance of International Trade (FIT)</i>	BCSDTF501A BCSDTF502A BCSDTF503A	
<i>Management of Information Systems in Banking (MIS)</i>	BRTMTD501A BRTMTD502A BRTMTD503A BRTMTD504A BRTMTI401A BRTMTT502A BPTMTI501A/BCTMTI501A BPTMTT503A/BCTMTT503A	
Total of any 2 modules		60

(v) Postgraduate Diploma Program for Certified Banker

The learners are required to choose 1 out of the 3 streams. Each stream comprises of 2 modules.

Module Title	Unit of Competency	QF Credit
Credit management stream:		
➤ Bank Lending	BCSDGL501A BCCMCR603A BCCMCA602A BCCMCA502A BCCMML502A BCCMCC602A	
➤ Credit risk management	BCCMCR501A BCCMCA503A	

	BCCMCP602A BCCMCS601A BCRMRI601A/BPRMRI601A BCRMRI602A/BPRMRI602A BRCMCR501A	
Treasury management stream:		
➤ Bank asset & liability management	BCBDBG601A/BRBDBG601A/ BPSRBG601A BCBDBG501A BCCMCP602A BPPDRA601A BCRMRI601A/BPRMRI601A BCRMRI602A/BPRMRI602A	
➤ Treasury market and operations	BCBDBG602A/BRBDBG602A/ BPSRBG602A BCBDBG501A BCRMRI601A/BPRMRI601A BCRMRI602A/BPRMRI602A	
Operations management stream:		
➤ Banking law & practice	BCCOCD502A BCCOCL501A/BRCRCL501A/ BPCOCL501A BCCOCL503A/BRCRCL502A/ BPCOCL503A BCCOCD601A BCCOCL601A BCCOAL601A	
➤ Operational risk management	BCRMRI601A/BPRMRI601A BCRMRM601A BCRMRI602A/BPRMRI602A BCRMBC503A BRCRCI503A BRCRCI504A	
Total per stream		60

3.4 Graduation Requirements

(i) **Advanced Diploma Program for Certified Banker**

- Pass the 2.5 hours written examination consists of 80-100 multiple choice questions for each module (i.e. with attainment of 70% on total marks)

(ii) **Advanced Certificate for ECF in Anti-Money Laundering and Counter-Terrorist Financing [AML/CFT]**

- Pass the 2.5 hours written examination consists of 80-100 multiple choice questions (i.e. with attainment of 70% on total marks)

(iii) Professional Diploma Program in Financial Planning and Management for CFMP

- Pass the 2.5 hours written examination consists of 75-100 multiple choice questions for each of the first 6 modules (i.e. with attainment of 70% on total marks);
- Pass the 1.25 hours written examination consists of 40-50 multiple choice questions for Module 7 (i.e. with attainment of 70% on total marks); and
- Pass the 1.75 hours 'Case and Oral' examination comprising 1.5 hours writing tasks [70%] and 15 minutes presenting a financial proposal [30%] (i.e. with attainment of 70% on total marks) for Module 7.

(iv) Professional Diploma Program for Certified Banker

- Pass the 3 hours written examination consists of 50-60 multiple choice and essay type questions for each of the 2 modules chosen (i.e. with attainment of 60% on total marks)

(v) Postgraduate Diploma Program for Certified Banker

- Pass the 3 hours written examination consists of case study and essay type questions for each of the 2 modules chosen (i.e. with attainment of 50% on total marks)
- Pass the final Case Study Examination comprising Individual Written Report of 1200 – 1500 words [40%] and 3 hours Onsite Examination [60%] (i.e. with attainment of 50% on total marks)

3.5 Admission Requirements

(i) Advanced Diploma Program for Certified Banker

- A Bachelor's Degree awarded by a recognized university or equivalent; OR
- An Associate Degree / Higher Diploma in Banking and Finance area; OR
- Relevant professional qualifications; OR
- Mature Student (aged 21 or above) with either
 - ♦ At least 5 years of banking and finance working experience; OR
 - ♦ 2 years of banking and finance experience with recommendation of employer; OR
- Registered HKIB student members or post-secondary full time learners

(ii) Advanced Certificate for ECF in Anti-Money Laundering and Counter-Terrorist Financing [AML/CFT]

- A Bachelor's Degree awarded by a recognized university or equivalent; OR
- An Associate Degree / Higher Diploma in Banking and Finance area; OR
- Relevant professional qualifications; OR
- Mature Student (aged 21 or above) with either
 - ♦ At least 5 years of banking and finance working experience; OR
 - ♦ 2 years of banking and finance experience with recommendation of employer; OR
- Registered HKIB student members or post-secondary full time learners

(iii) Professional Diploma Program in Financial Planning and Management for CFMP

- A recognized Associate Degree or equivalent; OR
- Mature Student with at least 2 years of Banking and Finance working experience (will be assessed on a case-by-case basis)

(iv) Professional Diploma Program for Certified Banker

- A Bachelor's Degree awarded by a recognized university or equivalent; OR
- An Associate Degree / Higher Diploma in Banking and Finance area; OR
- Relevant professional qualifications; OR
- Mature Student (aged 21 or above) with either
 - ♦ At least 5 years of banking and finance working experience; OR
 - ♦ 2 years of banking and finance experience with recommendation of employer;

AND

- The learner has to complete "Advanced Diploma for Certified Banker" (previously known as "Advanced Diploma in Banking and Financial Services for ABP") before proceeding onto this program.

(v) Postgraduate Diploma Program for Certified Banker

- A Bachelor's Degree awarded by a recognized university or equivalent; OR
- An Associate Degree / Higher Diploma in Banking and Finance area; OR
- Relevant professional qualifications; OR
- Mature Student (aged 21 or above) with either
 - ♦ At least 5 years of banking and finance working experience; OR
 - ♦ 2 years of banking and finance experience with recommendation of employer;

AND

- Completion of "Professional Diploma for Certified Banker" (previously known as "Professional Diploma in Banking and Financial Services")

4. Appeal

- 4.1 If the Operator is aggrieved by the determination made in this accreditation report, then pursuant to Part 3 of the Accreditation of Academic and Vocational Qualifications Ordinance (AAVQO) (Cap 592) the Operator has a right of appeal to the Appeal Board. Any appeal must be lodged within 30 days of the receipt of this accreditation report. Please refer to Cap. 592A (<http://www.legislation.gov.hk/eng/home.htm>) for the appeal rules. Details of the appeal procedure are contained in section 13 of the AAVQO and can be accessed from the QF website at <http://www.hkqf.gov.hk>.

5. Substantial Change

- 5.1 The accreditation status of the learning programme(s) will lapse upon the expiry of the validity period or HKCAAVQ may withdraw the accreditation status at any time during the validity period if there are substantial changes made to the programme(s) that have not been approved by HKCAAVQ. Please refer to the '*Guidance Notes on*

Substantial Change to Accreditation Status' in seeking approval for proposed changes. The Guidance Notes can be downloaded from the HKCAAVQ website.

6. Qualifications Register

- 6.1 Qualifications accredited by HKCAAVQ are eligible for entry into the Qualifications Register (QR) at <http://www.hkqr.gov.hk> for recognition under the Qualifications Framework (QF). Operators should apply separately to have their quality-assured qualifications entered into the QR.
- 6.2 Only learners who are admitted to the named accredited learning programme during the validity period and who have graduated with the named qualification uploaded in the QR will be considered to have acquired a qualification recognised under the QF.

Report No.: 16/149
File Reference: VA115/02/01a,03a-04a;
VA115/02/06-07